

GOVERNANCE AND MANAGMENT

GM.1

The organization has a governing body or designated person(s) so functioning with overall responsibility for the organization's operations.

GM.2

The organization is a legally constituted entity in the state(s) in which it is located and in which it providers services.

GM.2 the organization is constituted by at least one of the following:

- GM.2.1.1 charter;
- GM.2.1.2 articles of incorporation;
- GM.2.1.3 partnership agreement;
- GM.2.1.4 franchise agreement; and/or
- GM.2.1.5 legislative or executive act.

GM.3

An organization that is part of a multifamily system or is operated by a government agency has a written description of the system's structure, administrative structure, and lines of authority.

GM.3.1 The lines of authority between the governing body of the multifacility system or the government agency and the organization's body are delineated.

GM.4

The names and addresses of all owners or controlling parties of the organization, whether they are individuals, partnerships, corporate bodies, or subdivisions of other bodies (such as government agencies or religious, fraternal, or other charitable organizations), fully disclosed.

GM. 5

If a business relations exists between a governing body member(s) and the organization, a conflict-of-interest policy exists governing the member's participation in decisions influenced by his/her business interest.

GM.6

The responsibilities of the governing body are stated in writing and include the functions listed in GM.6.1.1 through GM.6.1.10.

GM6.1 The responsibilities include at least the following:

- GM.6.1.1 adopting a written plan for professional services;

GM.6.1.1.1 The governing body, through the chief executive officer, develops policies and makes sufficient resources available (for example, funds, staff, equipment, supplies, and facilities) to assure that the program is capable of providing appropriate and adequate services to individuals served.

GM.6.1.2 overseeing the system of financial management and accountability;

GM6.1.2.1 The fiscal management system includes a fee schedule and a reporting mechanism that maintains information.

GM.6.1.2.2 An independent audit of the organization's financial operations is performed at least annually.

GM.6.1.3 assuring that the organization's physical and financial resources are adequate insured;

GM. 6.1.4 assuring that members of the governing body and appropriate administrative and professional staff have adequate liability insurance;

GM.6.1.5 participate in the accreditation process;

GM.6.1.5.1 One or more governing body members are present at the survey and the chief executive officer executive officer exit conference.

GM.6.1.6 adopting a program to assess and improve the quality of all care provided and to appropriately address identified problems or opportunities to improve in providing care.

GM.6.1.7 electing, appointing, or employing officers and/or administrators to direct the clinical and administrative activities of the organization.

GM.6.1.7.1 The qualifications for and the authority and function of such positions are clearly and specifically documented.

GM.6.1.8 establishing an organization chart and establishing bylaws, rules and regulations, or policies and procedures to guide the governing body's relationship[with the administrative staff, the professional staff, and the community;

GM.6.1.8.1 The bylaws, rules and regulations, or policies and procedures define how the administrative and professional staffs cooperatively function, participate in the development of policies concerning program management and care of the individual served, and report to the governing body.

GM.6.1.8.2 The bylaws, rules and regulations, or policies and procedures are reviewed and revised as often as necessary, but at least every three years.

GM.6.1.9 making appointments to the professional staff and granting or revising clinical privileges up the documented recommendations from the appropriate professional staff; and

GM.6.1.10 establishing a system designed to assure that individuals without clinical privileges providing services are competent.

GM.7

The governing body may establish a committee structure to fulfill its responsibilities and assess the results of its activities.

GM.8

The governing body meets as a whole at least annually and keeps records that demonstrate the ongoing execution of its responsibilities.

GM.9

When a categorized program(that is, an age-specific or diagnosis/condition-specific program) is a component of a larger organization, the program's staff, subject to the overall authority of governing body, is given the necessary authority to plan, organize and operate the program.

GM.10

The chief executive officer, appointed by the governing body, has appropriate professional qualifications and experience.

GM.11

In accordance with governing body bylaws, rules and regulations, or policies and procedures, the chief executive officer is responsible to the governing body for the overall operation of the organization including the control, use, and conservation of its physical and financial assets and staff recruitment and direction.

GM.12

A written annual budget that includes a statement of expected revenues and expenses is reviewed and approved by the governing body.

GM.12.1 The governing body reviews and approves the budget before the fiscal year begins.

GM.12.2 The governing body reviews and approves budget revisions during the fiscal year.